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286: September 12, 2008 Name: Scott A. Trimmerman (Reg., No. 55.678) Signature: _/Scott A. Trimmerman/

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

James Farrell et al.

Serial No: 10/713,126

Filed: Nov. 14, 2003

For: SYSTEM AND METHOD FOR

CONTROLLING MARKETS DURING

A STOP LOSS TRIGGER

Attorney Docket No.: 4672/310

Examiner: Weis, Samuel

Group Art Unit; 3693

Confirmation No.: 9585

AMENDMENT and REMARKS

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In response to the Office Action dated June 12, 2008, Applicants' respectfully request entry of the accompanying amendments and consideration of the following remarks: